# Pygmy resonances, neutron skins and neutron stars



C.A. Bertulani



UNIVERSITY of the WESTERN CAPE

#### **X TASTES OF NUCLEAR PHYSICS**

University of the Western Cape, South Africa, December 4, 2020

#### In collaboration with



Gerhard Baur



Andrew Sustich



Hiroyuki Sagawa



Thomas Aumann



Mahir Hussein



Stefan Typel



Peter von Neumann-Cosel



Aurel Bulgac

#### In collaboration with



#### **Postdocs**



Paolo Avogadro

Shubhchintak

#### Hongliang Liu

#### Ronaldo Lobato



Josilyn Valencia



**Michael Hartos** 





James Thomas

Nathan Brady

#### **Neutron stars in a nutshell**

- Existence proposed by Baade and Zwicky (1934) Landau (1932)
- Remnants of supernovae,  $M = 8 30 M_{\odot} \& 100$  million in galaxy
- $M_{NS} = 1.4 3 M_{\odot} \&> 3 M_{\odot}$  collapse to BH & Largest observed = 2  $M_{\odot}$
- L-conservation in collapse  $\rightarrow$  NS rotate with period = 1.4 ms 30 s
- $\rho = 4 6 \times 10^{17} \text{ kg/m}^3 \sim 10^{14} \rho_{\odot}$  & matchbox = 10000 Empire States bl
- R = 10 km &  $T_{surf}$  = 10<sup>6</sup> K (X-ray emiss.) &  $P_C$  = 10<sup>34</sup> Pa (unimaginable)
- Magnetic field =  $10^4 10^{11} \text{ T} \rightarrow \text{vac. pol. } \& \text{ crust fracture } \rightarrow \text{SGRs?}$
- $g_{NS} = 2 \times 10^{12} \text{ m/s}^2 \rightarrow spaghettification \& grav. bind. = 100 MeV/A$
- $R_{NS} \times M_{NS}$  depends on EOS P( $\rho$ ): 1.5  $M_{\odot} \rightarrow 10 15$  km uncertainty
- Pulsars = spinning NS radiating from poles Jocelyn Bell (1967)

## **Inside a neutron star**

Outer crust Atomic nuclei, free electrons Inner crust

Heavier atomic nuclei, free neutrons and electrons

Outer core Quantum liquid where neutrons, protons and electrons exist in a soup

Inner core -

Unknown ultra-dense matter. Neutrons and protons may remain as particles, break down into their constituent quarks, or even become 'hyperons'.

Atmosphere — Hydrogen, helium, carbon

#### © Nature

Beam of X-rays coming from the neutron star's poles, which sweeps around as the star rotates.

# **Jocelyn Bell**



Jocelyn Bell in Commerce, TX, USA, 2010

#### **Neutron Star Crust: (preface by Jocelyn Bell)**



Jocelyn Bell Burnell \* University of Oxford, Denys Wilkinson Building Keble Road, Oxford OX1 3RH, UK

I judge myself fortunate to be working in an exciting and fast moving area of science and at a time when the public has become fascinated by questions regarding the birth and evolution of stars, the nature of dark matter and dark energy, the formation of black holes and the origin and evolution of the universe.

The physics of neutron stars is one of these fascinating subjects. Neutron stars are formed in supernova explosions of massive stars or by accretioninduced collapse of smaller white dwarf stars. Their existence was confirmed through the discovery of radio pulsars during my thesis work in 1967. Since then this field has evolved enormously. Today we know of accretion-powered pulsars which are predominantly bright X-ray sources, rotation-powered pulsars observed throughout the electromagnetic spectrum, radio-quiet neutron stars, and highly magnetized neutron stars or magnetars. No wonder there has been an explosion in the research activity related to neutron stars!



It is now hard to collect in a single book what we already know about neutron stars along with some of the exciting new developments. In this volume experts have been asked to articulate what they believe

are the critical, open questions in the field. In order for the book to be useful to a more general audience, the presentations also aim to be as pedagogical as possible.

This book is a collection of articles on the neutron stars themselves, written by wellknown physicists. It is written with young researchers as the target audience, to help this new generation move the field forward. The invited authors summarize the current status of Table of Contents

Bertulani, Piekarewicz, editors

Preface
Introduction
Neutron star crust and molecular dynamics simulation
C. J. Horowitz, J. Hughto, A. Schneider, and D. K. Berry 6
Nuclear pasta in supernovae and neutron stars
G. Watanabe and T. Maruyama
Terrestrial and astrophysical superfluidity: cold atoms and neutron matter
A. Gezerlis and J. Carlson
Pairing correlations and thermodynamic properties of inner crust matter
J. Margueron and N. Sandulescu 68
The crust of spinning-down neutron stars
R. Negreiros, S. Schramm, and F. Weber
Influence of the nuclear symmetry energy on the structure and composition of the
outer crust
X. Roca-Maza, J. Piekarewicz, T. García-Gálvez, and M. Centelles 104
Equation of state for proto-neutron star
G. Shen
From nuclei to nuclear pasta
C.O. Dorso, P.A. Gímenez-Molinelli, and J.A. López 151
The structure of the neutron star crust within a semi-microscopic energy density func-
tional method
M. Baldo and E.E. Saperstein 171
The inner crust and its structure
D.P. Menezes, S.S. Avancini, C. Providência, and M.D. Alloy 194
Neutron-star crusts and finite nuclei
S. Goriely, J. M. Pearson, and N. Chamel 214
The nuclear symmetry energy, the inner crust, and global neutron star modeling
W.G. Newton, M. Gearheart, J. Hooker, and Bao-An Li 236
Neutron starquakes and the dynamic crust
A.L.Watts
Thermal and transport properties of the neutron star inner crust
D. Page and S. Reddy
Quantum description of the low-density inner crust: finite size effects and linear re-
sponse, superfluidity, vortices
P. Avogadro, F. Barranco, R.A. Broglia, and E. Vigezzi

# **Pygmy Resonances: Origins**

#### Low-energy dipole strength

- First observation in 1961

   γ rays from neutron capture
   Bartholomew, Annu. Rev. Nucl. Sci. 11, 259 (1961)
- First use of name "pygmy resonance" (PDR)
   Brzosko et al., Can. J. Phys 47, 2849 (1969)
- Description as a collective excitation Mohan et al., Phys. Rev. C 3, 1740 (1971) "Three-Fluid Hydrodynamical Model of Nuclei": Neutron excess oscillates against the N = Z core
- First experimental proposal: <u>Nomura, Kubono, et al., June 1987</u> Experiment proposal (J-PARC)





## **Hydrodynamics**



$$T = \frac{1}{2}m^* \int \rho_p \left( \mathbf{v}_{SJ}^{(p)} + \mathbf{v}_{GT}^{(p)} \right)^2 + \rho_n \left( \mathbf{v}_{SJ}^{(n)} + \mathbf{v}_{GT}^{(n)} \right)^2$$

$$V = -\kappa \int d^3 r \frac{(\rho_n - \rho_p)^2}{\rho_n - \rho_p} + \text{surf. terms}$$
  

$$\kappa \sim 30 - 40 \text{ MeV}$$

#### Myers et al, PRC 15, 2032 (1977)

#### **Pygmy transition densities**

Suzuki, Ikeda, Sato, PTP 83 (1990) 180 Van Isacker, Nagarajan, Warner, PRC 45 (1992) 13





$$E_{PR} = \left[\frac{3S_n A\hbar^2}{2aRm_N A_c (A - A_c)}\right]^{1/2} \sim 1-3 \text{ MeV}$$
$$\Gamma_{PR} = \frac{\hbar \sqrt{\overline{v}_{core} \overline{v}_{skin}}}{R} \sim 3 \text{ MeV}$$

Bertulani, PRC 75, 024606 (2007) NPA 790, 467 (2007)

#### Impact of pygmies on nucleosynthesis (??!)

 $(\gamma,n)$  or  $(n,\gamma)$  cross sections in the r-process





Two-body cluster: Bertulani, Sustich, PRC 46, 2340 (1993)

# **E & M response in neutron-rich nuclei**



**3-body models & FSI** 

$$\left\langle \Psi_{\rm f} \left| r Y_{\rm l} \right| \Psi_{\rm i} \right\rangle \propto \int dx \, dy \frac{\Phi_{\alpha}(\rho)}{\rho^{5/2}} y^3 x \, u_{\rm p}(x) u_{\rm q}(y)$$

$$\frac{\mathrm{dB(E1)}}{\mathrm{dE}_{\mathrm{r}}} \propto \frac{\mathrm{E}_{\mathrm{r}}^{3}}{\left(\mathrm{S}_{2\mathrm{n}}^{\mathrm{eff}} + \mathrm{E}_{\mathrm{r}}\right)^{11/2}} \left(1 + \mathrm{FSI}\right)^{2}$$
$$\mathrm{S}_{2\mathrm{n}}^{\mathrm{eff}} \sim 1.8 \mathrm{S}_{2\mathrm{n}}$$

Bertulani, PRC 75, 024606 (2007) NPA 790, 467 (2007)

> **FSI:** Different scattering lengths, effective ranges





# Halo EFT



# Halo EFT



Bertulani, Hammer, van Kolck, NPA 712, 37 (2002)

## **Halo EFT**



Acharya, Phillips, EPJ 113, 06013 (2016)

#### Many-body models



Continuum RPA: Bertulani, Sustich, PRC 46, 2340 (1992)

#### Many-body models



Teruya, Bertulani, Krewald, Dias, Hussein, PRC 43, 2049 (1991)

#### **Density functional models**

For the nucleon-nucleon interaction

$$V(\mathbf{r}_{i},\mathbf{r}_{j}) = V_{ij}^{NN} + V_{ij}^{Coul}$$

$$V_{ij}^{\text{Coul}} = -\frac{e^2}{4} \sum_{i,j=1}^{A} \frac{\tau_{ij}^2 + \tau_{ij}}{|\mathbf{r}_i - \mathbf{r}_j|}, \qquad \tau$$

$$\tau_{ij} = \tau_i + \tau_j$$

$$\begin{aligned} \mathbf{V}_{ij}^{\mathrm{NN}} &= \mathbf{t}_{0} (1 + \mathbf{x}_{0} \mathbf{P}_{ij}^{\sigma}) \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) + \frac{1}{2} \mathbf{t}_{1} (1 + \mathbf{x}_{1} \mathbf{P}_{ij}^{\sigma}) [\mathbf{\tilde{k}}_{ij}^{2} \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) + \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) \mathbf{\tilde{k}}_{ij}^{2}] + \\ \mathbf{t}_{2} (1 + \mathbf{x}_{2} \mathbf{P}_{ij}^{\sigma}) \mathbf{\tilde{k}}_{ij} \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) \mathbf{\tilde{k}}_{ij} + \frac{1}{6} \mathbf{t}_{3} (1 + \mathbf{x}_{3} \mathbf{P}_{ij}^{\sigma}) \rho^{\alpha} \left(\frac{\mathbf{r}_{i} + \mathbf{r}_{j}}{2}\right) \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) + \\ \mathbf{i} W_{0} \mathbf{\tilde{k}}_{ij} \delta(\mathbf{r}_{i} - \mathbf{r}_{j}) (\vec{\sigma}_{i} + \vec{\sigma}_{j}) \mathbf{\tilde{k}}_{ij}, \qquad \mathbf{t}_{i}, \ \mathbf{x}_{i}, \ \alpha, \ W_{0} \ \text{are 10 Skyrme parameters} \end{aligned}$$

$$E[\rho] = \left\langle \Phi \middle| T + V_{ij}^{\text{Coul}} + V_{ij}^{\text{NN}} \middle| \Phi \right\rangle$$

## + pairing

HF + BCS

HFB

$$\Delta_{i} = \frac{1}{2} \sum_{j} \frac{G_{ij} \Delta_{j}}{\sqrt{\left(\varepsilon_{j} - \lambda\right)^{2} + \Delta_{j}^{2}}} \begin{pmatrix} h_{HF} - \lambda & \Delta \\ -\Delta & -h_{HF} + \lambda \end{pmatrix} \begin{pmatrix} u_{k} \\ v_{k} \end{pmatrix} = E_{k} \begin{pmatrix} u_{k} \\ v_{k} \end{pmatrix}$$

$$V = V_0 \left[ 1 - \eta \left( \frac{\rho(\mathbf{r})}{\rho_0} \right)^{\alpha} \right] \delta(\mathbf{r}_1 - \mathbf{r}_2), \qquad \rho_0 = 0.16 \text{ fm}, \quad \alpha = 1$$
$$\eta = \begin{cases} 0, & \text{"volume" pairing} \\ 1, & \text{"surface" pairing} \\ 1/2, & \text{"mixed" pairing} \end{cases}$$

#### **Mean field + Pairing**

$$v(\mathbf{r},\mathbf{r'}) = v_0 \left[1 - \eta \left(\frac{\rho}{\rho_0}\right)^{\gamma}\right] \delta(\mathbf{r} - \mathbf{r'})$$



### **Odd nuclei: Blocking procedure**



#### **Pairing improves nuclear properties**



Bertsch, Bertulani, Nazarewicz, Schunck, Stoitsov, PRC 79, 0343306 (2009)

## **QRPA:** pairing induces rearrangement terms

Avogadro, Bertulani, PRC 88, 044319 (2013)

$$h = \frac{\delta E_{kin}}{\delta \rho} + \frac{\delta E_{skyrme}}{\delta \rho} + \frac{\delta E_{pair}}{\delta \rho} + \frac{\delta E_{Coul}}{\delta \rho}$$

- Fully self consistent EWSR = 99.2%
- Without rearrangement in EWSR =116%



## Pairing – ISGMR – Comparison to data

	nucleus	$\mathbf{ph}$	pp	diff.
TAMU/ RCNP	<sup>204–206–208</sup> Pb	SLy5	$\operatorname{all}$	< 0.1
TAMU/ RCNP	$^{144}Sm$	SkM*	volume	- 0.1
TAMU/ RCNP	$^{90}\mathrm{Zr}$	SLy5	all	+ 0.2
TAMU	$^{92}\mathrm{Zr}$	SLy5	volume	- 0.4
	$^{94}\mathrm{Zr}$	Skxs20	surface	+ 0.8
TAMU	$^{92}\mathrm{Mo}$	SLy5	volume	- 1.6
	<sup>94</sup> Mo	Skxs20	surface	+ 0.0
RCNP	$^{112-114-118-120}$ Sn [4]	Skxs20	mixed	< 0.1
	$^{122-124}$ Sn [4]	Skxs20	surface	< 0.1
	<sup>116</sup> Sn [4]	SkM*	surface	< 0.1
TAMU	$^{112-124}$ Sn [35]	Skxs20	surface	pprox 0.8
	$^{116}$ Sn [35]	Skxs20	surface	+ 0.2
RCNP	$^{106-110-112-114-116}$ Cd [6]	Skxs20	surface	< 0.1
TAMU	$^{110-116}$ Cd [46]	Skxs20	surface	$\approx 0.9$

#### **Isovector pairing – Good global fits to pairing gaps**



#### **DFT and nuclear radii**



#### **Neutron stars**

$$\frac{dP}{dr} = -\frac{G\rho(r)M(r)}{r^{2}} \left[ 1 + \frac{P(r)}{\rho(r)} \right] \left[ 1 + \frac{4\pi r^{3}P(r)}{M(r)} \right] \left[ 1 - \frac{2GM(r)}{r} \right]^{-1}$$

$$\frac{dM}{dr} = 4\pi r^{2}\rho(r) \quad \text{Tolman-Oppenheimer-Volkoff}$$

$$EOS \quad p[\rho] = \rho^{2} \frac{d}{d\rho} \left( \frac{E}{A}[\rho] \right) \qquad 2.0$$

$$\int_{S}^{0} \frac{1.5}{1.0}$$

#### **EOS + symmetry energy**

$$\frac{\mathrm{E}}{\mathrm{A}}[\rho] = \frac{\mathrm{E}}{\mathrm{A}}[\rho_0] + \frac{1}{18} \mathrm{K}_{\infty} \left(\frac{\rho - \rho_0}{\rho_0}\right)^2 + \mathrm{S} \left(\frac{\rho_n - \rho_p}{\rho}\right)^2 + \cdots$$

$$S = \frac{1}{2} \frac{\partial^2 \left( E / A \right)}{\partial \delta^2} \bigg|_{\delta=0} = J + Lx + \frac{1}{2} K_{sym} x^2 + O(x^3),$$
$$L = 3\rho_0 \frac{dS(\rho)}{d\rho} \bigg|_{\rho_0}, \quad \delta = \frac{\rho_n - \rho_p}{\rho}, \quad x = \frac{\left(\rho - \rho_0\right)}{3\rho_0}$$

Skyrme	ρ <sub>0</sub>	<b>E0</b>	Κ <sub>∞</sub>	J	L	K <sub>sym</sub>
SLy5	0.161	-15.99	229.92	32.01	48.15	-112.76
SkM*	0.160	-15.77	216.61	30.03	45.78	-155.94
Skxs20	0.162	-15.81	201.95	35.50	67.06	-122.31

For 
$$\rho \sim \rho_0$$
 and  $\delta \sim 1 \implies p = \frac{L\rho_0}{3}$ 

#### **EOS & Neutron stars**



#### **EOS & Neutron stars**

Pethick, Ravenhall, ARNPS 45 (1995) 429 Brown, PRL 85 (2000) 5296



#### **EOS & Neutron stars**

Fattoyev, Piekarewicz, PRC 86, 015802 (2012)



#### **Neutron skins and neutron stars**



#### **Correlation between symmetry energy & neutron skin**



## **Neutron skins measurements**



Radii from spin-dipole resonances Krasznahorkay et al., PRL 82, 3216 (1999) & Antiprotonic atoms Trzcinskaet al., PRL 87, 082501 (2001)

Bertulani, Liu, Sagawa, PRC 85, 014321 (2012)



## n-skin from e<sup>-</sup> scattering (PREX)

$$A_{PV} = \frac{d\sigma_R - d\sigma_L}{d\sigma_R + d\sigma_L}$$

$$A_{PV}(Q^2) = \frac{G_F Q^2}{4\pi e^2 \sqrt{2}}$$

$$\times \left[4\sin^2\theta_W - 1 + \frac{F_n(Q)}{F_p(Q)}\right]$$
Asymmetry vs. n-skin
  
Asymetry vs. n-skin
  
Asym

Horowitz, Pollock, Soulder, Michaels PRC 63 (2001) 025501

Roca-Maza et al., PRL 106, 252501 (2011)

- PREX: measurement of parity violating asymmetry (goal: ±3%)
- $\circ~$  Determine n-skin and/or L by comparison to predictions from DFT
- $\circ$   $\,$  Scatter of theory points provides estimate for uncertainty of this method
- $\circ~$  Constraining L to ± 5 MeV (one sigma) possible if measurement would be accurate

## **Dipole polarizability**

Rossi et al. PRL 111 (2013) 242503

$$\sigma_{\rm C} \sim (\cdots) \int_{0}^{\infty} \frac{\sigma_{\gamma}({\rm E})}{{\rm E}^2} d{\rm E}$$

Wieland et al. PRL 102, 092502 (2009)



#### Dipole polarizability

$$\alpha_{\rm D} = \frac{\hbar c}{2\pi^2} \int_0^\infty \frac{\sigma_{\gamma}(E)}{E^2} dE$$
$$= \frac{8\pi}{9} \int \frac{B(E1, E_x)}{E_x} dE_x$$



Piekarewicz, PRC 83, 034319 (2011)



Adrich et al., PRL 95, 132501 (2005)

#### **Dipole polarizability & neutron skin**



Experiment: Tamii et al., PRL 107, 062502 (2011)

$$\Delta r_{np} \sim 0.156 \text{ fm}$$

EFT: Hebeler et al., PRL 105, 161102 (2010)

$$\Delta r_{np} \sim 0.17 \text{ fm}$$

#### **Dipole polarizability & neutron skin correlation??**



## **Dipole polarizability**



Experimental electric dipole polarizability in 48Ca (blue band) and predictions from EFT (green triangles) and  $\chi$ EDFs (red squares)

Birkhan et al., PRL 118, 252501 (2017)

#### **Reaction dynamics: coupling of PDR, GDR and GQR**



## Mean-Field Dynamics with pairing in heavy ion collisions

Time dependent superfluid local density approximation (TDSLDA)



An exact QRPA approach would severely underestimate the amount of internal energy deposited, one reason being the nonlinearity of the response, naturally incorporated in TDSLDA

Stetcu, Bertulani, Bulgac, Magierski, Roche, PRL 114, 012701 (2015)

#### **Peeling off neutrons**

$$\sigma_{R} = \begin{pmatrix} Z_{P} \\ Z \end{pmatrix} \begin{pmatrix} N_{P} \\ N \end{pmatrix} \int d^{2}b \left[1 - P_{p}(b)\right]^{Z_{p}-Z} P_{p}^{Z}(b) \left[1 - P_{n}(b)\right]^{N_{p}-N} P_{n}^{N}(b)$$

$$P_{p}(\mathbf{b}) = \int dz d^{2} s \rho_{p}^{P}(\mathbf{s}, z) \exp\left[-\sigma_{pp} Z_{T} \int d^{2} s \rho_{p}^{T}(\mathbf{b} - \mathbf{s}, z) - \sigma_{pn} N_{T} \int d^{2} s \rho_{n}^{T}(\mathbf{b} - \mathbf{s}, z)\right]$$

Experiment (4 independent measurements):

$$\sigma_{I} = \sigma_{R,\Delta Z} + \sigma_{R,\Delta N} + \sigma_{inel,\Delta N}$$

Aumann, Bertulani, Schindler, Typel PRL 119, 262501 (2017)

 $\sigma_{\text{inel}} \Rightarrow \text{Relation } \sigma_{\Delta N} \leftrightarrow L$ 



## n-removal cross section: n-skin and L

Aumann, Bertulani, Schindler, Typel PRL 119, 262501 (2017)



- n-skin changes by 0.19 fm for <sup>132</sup>Sn
- Total reaction cross section changes only by 2.5% !
- Total neutron-removal cross section changes by 20% !

Variation  $\delta L = \pm 5 \text{ MeV} \rightarrow \delta \Delta r_{np} \approx \pm 0.01 \text{ fm and } \delta \sigma_{DN} \approx \pm 1\%$ 

 $\rightarrow \sigma_{DN}$  very sensitive, limit given by DFT predictions reached

• Relation of  $\sigma_{DN}$  with L or  $\Delta r_{np}$  needs good reaction theory

## **Neutron skin and fragmentation reactions**



48

## **Neutron skin and fragmentation reactions**



## **Neutron skin and fragmentation reactions**



Hanlin Li, et al., Phys. Rev. Lett. 125, 222301

(p,2p) reactions: Maris polarization effect





But NN interaction different for singlet ( $\uparrow \Psi$ ) and triplet ( $\uparrow \uparrow$ ) scattering

Scattering asymmetries (twice larger for  $p_{3/2}$ )

+ **L.S** flips, changes optical potential and absorption in near (shorter path) and far (longer path) side



Effective polarization (Maris polarization), P<sub>eff</sub>

Maris, et al. NPA 322, 461 (1979)

#### **Maris polarization effect**



#### Maris polarization in asymmetry systems



Shubhchintak, Bertulani, Aumann PLB 778, 30 (2018)

**Maris polarization and neutron skins** 



### Summary

Skins, halos, pygmies, and neutron stars

- Halos  $\leftarrow \rightarrow$  pygmy? ~ ~ 10 fm



- Skins  $\rightarrow$  pygmy (nearly linear correlation)
- Dipole polarization  $\rightarrow$  magnify pygmy properties (Coulex)
- Fragmentation reactions total neutron removal cross sections
- Pygmy + skin + other ideas  $\rightarrow$  symmetry energy
- Long way to go from nuclear matter to neutron matter